TESDA-OP-IAS-01-F06-RO

Rev. No. 03 - 07/05/2022

**CONSOLIDATED REGIONAL SUMMARY OF COMPLIANCE AUDIT RESULTS –**

**REGISTERED TVET PROGRAMS**

**For the year: \_\_\_\_\_\_**

**As of \_\_\_\_\_\_\_\_\_\_\_\_\_**

Region:\_\_\_\_\_\_\_\_\_\_\_\_\_\_

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
| **Seq. No.** | **District / Province** | **Name of Institution** | **TVET Program** | **Registration** | **Date of Audit/ Closure** | **Status (Place a check mark on columns G, H or J as applicable)** |
| **Compliant** | **Migration** | **Closed[[1]](#footnote-2)** | **Non-compliant** | **Remarks** |
| **Date** | **Number** | **FOR Migration *(within the migration period)*** | **NOT Migrated *(period of migration already lapsed)*** | **(J)** | **Findings on Areas Not Complied with**  | **Correction Action** | **Corrective Action** | **Date of Implementation (Correction and Corrective Actions)** | **Date Non-Conformities Closed****(Date of Compliance)** |
| **(A)** | **(B)** | **(C)** | **(D)** | **(E.1)** | **(E.2)** | **(F)** | **(G)** | **(H.1)** | **(H.2)** | **(I)** | **(K)** | **(L)** | **(M)** | **(N)** | **(O)** | **(P)** |
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| **Prepared by:** |  | **Approved by:** |
|   |  |   |
| RO Compliance Audit Focal |  | Regional Director |
| Date:  |  | Date:  |

**CONSOLIDATED REGIONAL SUMMARY OF COMPLIANCE AUDIT RESULTS –**

**REGISTERED TVET PROGRAMS**

*INSTRUCTIONS*

1. This Summary shall be accomplished and be submitted by the Regional Office to the Internal Audit Service (IAS) and RQMC every 3rd day of the month
2. This form shall be accomplished as follows:
	1. **For the year –** the applicable year where compliance audit was conducted
	2. **As of –** period covered of the report
	3. **Region –** name of the region
	4. Columns A to P shall reflect the following information:

|  |  |  |
| --- | --- | --- |
| Column A | Seq. No. | Sequence number |
| Column B | District / Province | District / Province where the TVI is located |
| Column C | Name of Institution | Name of the TVI audited |
| Column D | TVET Program | Title of program audited |
| Column E.1 | Registration Date | Registration Date of the TVET programs audited |
| Column E.2 | Registration Number | CTPR Number of the TVET programs audited |
| Column F | Date of Audit / Closure | Actual date of audit; date of TVI closure; or the date when the TVI’s CTPR was voluntarily surrendered to PO/DO |
| Column G | Compliant | Put a check "✓" mark to indicate status based on the duly accomplished and submitted Consolidated Provincial/District Report on Audited and Closed Programs and Status of Compliance to Correction and Corrective Action/s (TESDA-OP-IAS-01-F06-PO) from the District/Provincial Offices |
| Column H.1 | For Migration *[****FOR Migration*** *(within the migration period)]* | TVET Programs needing migration due to either from NTR to WTR or Old WTR to Updated WTR. Audited TVET programs under this category are found compliant at the time of audit. TVIs have the responsibility to migrate the program prior to the lapse of the migration period. Put a check "✓" mark to indicate status |
| Column H.2 | For Migration *[****NOT Migrated*** *(period of migration already lapsed)]* | Audited TVET programs under this category FAILED to migrate the program within the migration period. These TVET programs are considered NONCOMPLIANT and shall be subjected to Revocation Proceedings. Put a check "✓" mark to indicate status |
| Column I | Closed | Indicate either: **1** – for Closed TVI/Company; **2** – for Programs Closed due to Certificate of TVET Program Registration (CTPR) voluntarily surrendered to PO/DO prior the conduct of audit; or **3** – for TVET programs closed due to unsettled nonconformities, as of reporting date |
| Column J | Non-Compliant | Put a check "✓" mark to indicate status based on the duly accomplished and submitted Consolidated Provincial/District Report on Audited and Closed Programs and Status of Compliance to Correction and Corrective Action/s (TESDA-OP-IAS-01-F06-PO) from the District/Provincial Offices |
| Columns K to N | K – Findings on Areas Not Complied with per Program Registration RequirementL – Correction ActionM – Corrective ActionN – Date of Implementation (Correction and Corrective Actions) | Detailed audit findings and responses of the TVI based on duly accomplished and submitted Consolidated Provincial/ District Report on Audited and Closed Programs and Status of Compliance to Correction and Corrective Action/s (TESDA-OP-IAS-01-F06-PO) from the District/Provincial Offices |
| Column N | Date Non-Conformities Closed(Date of Compliance) | Actual date of TVI’s closure of **ALL** audit findings based on the updated TESDA-OP-IAS-01-F05-A |
| Column P | Remarks | Other details/information on the status of the audited TVET programs for which no specific column has been provided |

* 1. **Prepared by –** name and signature of the RO Compliance Audit Focal who prepares the report and the date it was signed.
	2. **Approved by –** name and signature of the Regional Director who approves the report and the date it was signed.
1. Entries to the report shall be made only based on duly submitted Consolidated Provincial/District Report on Audited and Closed Programs and Status of Compliance to Correction and Corrective Action/s (TESDA-OP-IAS-01-F06-PO) from the District/Provincial Offices.
1. Indicate either: **1** – for Closed TVI/Company; **2** – for TVET programs Closed due to Certificate of TVET Program Registration (CTPR) voluntarily surrendered to PO/DO prior the conduct of audit; or **3** – for TVET programs closed due to unsettled nonconformities. [↑](#footnote-ref-2)